

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Thomas J. Daley, et al.

Serial No.: 10/767,699

Filing Date: January 29, 2004

Art Unit: 3624

Confirmation No.: 5670

Examiner: Unknown

Title: *SYSTEM AND METHOD FOR CONTROLLING  
THE DISCLOSURE OF A TRADING ORDER*

**Mail Stop Amendment**

Commissioner of Patents

PO Box 1450

Alexandria, VA 22313-1450

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of all references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to patentability, or that these references qualify as prior art.

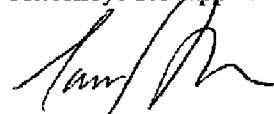
The items contained in this Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

**REMARKS**

Pursuant to 37 C.F.R. § 1.97(b), Applicants believe this Information Disclosure Statement has been filed before the **issuance/mailing** date of the first Office Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

Baker Botts L.L.P.  
Attorneys for Applicants



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Date: April 9, 2007

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Customer No. **05073**

<b>PTO-1449</b>  <b>Information Disclosure Citation In an Application</b>	<b>Application No.</b> <b>10/767,699</b>	<b>Applicant(s)</b> <b>Thomas J. Daley, et al.</b>	
	<b>Docket Number</b> <b>069547.0174</b>	<b>Group Art Unit</b> <b>3624</b>	<b>Filing Date</b> <b>January 29, 2004</b>

**U.S. PATENT DOCUMENTS**

	<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>FILING DATE</b>
A						
B						
C						
D						
E						
F						
G						
H						
I						
J						

**FOREIGN PATENT DOCUMENTS**

	<b>DOCUMENT NO.</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUBCLASS</b>	<b>TRANSLATION</b>	
						<b>YES</b>	<b>NO</b>
BKS/	K WO 01/77946 A1	10/18/2001	PCT	G06F	17/60		
	L						
	M						
	N						
	O						

	<b>DOCUMENT (Including Author, Title, Source, and Pertinent Pages)</b>	<b>DATE</b>
/BKS/ P	European Patent Office Communication for Application No 05712564.3 - 1238; 3 pages.	01/08/2007
Q		
R		
EXAMINER	/Bijendra K. Shrestha/	DATE CONSIDERED
		12/20/2010

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE